Appendix D – Core Financial System Requirements

FUNDS MANAGEMENT CORE FINANCIAL SYSTEM REQUIREMENTS

Requirement Number	Title	FM 2.1.1 Budgetary Resources	FM 2.1.2 Record Application of Budgetary Resources (Apportionment)	FM 2.2.1.1 Allotment for (Direct) Non-Anticipated, Non-Reimbursable Funding	FM 2.2.1.2 Allotment for Anticipated Reimbursable Funding	FM 2.2.1.3 Allotment for Anticipated Non-Reimbursable Resources	FM 2.2.2 Sub-Allotment	FM 2.2.3 Lower Level Distribution	FM 2.3.1 Establishing Commitments and Obligations for Goods and Services	FM 2.3.2 Establishing Obligations Not Requiring Commitment	FM 2.3.3 Funds Check Prior to Obligation	FM 2.3.4 Unexpired Funds Validation and Verification	FM 2.3.5 Expired Funds Validation and Verification
FMC-01	Budget execution documents	x	x										
FMC-03	Budget authority types	x											
FMC-04	Internal funds control document		x										
FMC-07	Continuing resolutions	x	x										
FMC-08	CR effective dating	x	x										
FMC-09	CR amendments	x	x										
FMC-10	Revolving funds				x								
FMC-11	Reimbursable authority				x								
FMC-12	Advance funding credit				x								
FMC-13	SF 132 apportionment data		x										
FMD-01	Limitation			x	x	x	x	x					
FMD-03	Fund distribution levels						x	x					
FMD-05	Fund distribution modification				x	x	x	x		x	x	x	x
FME-01	Monitor use of funds								x	x		x	
FME-02	Spending documents								x	x	x	x	x
FME-03	Spending transactions								x			x	x
FME-04	Prior year funds											x	x
FME-08	Open spending document detail								x	x			x
FME-10	Use of unexpired, expired or cancelled funds												x
FME-12	Fund control updates								x	x		x	x
FME-19	Suggested vendor								x				
FME-21	Obligating document data								x	x			
FME-24	No related commitment									x			
FME-29	Advance Payments									x			
GLB-01	Standard transactions	x	x	x	x	x	x	x	x	x			x

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GLC-01	Updating GL balances	x							x	x			x
GLC-02	Classifying transactions by attribute	x	x										
GLC-03	Validate attributes	x	x										
GLC-04	Balanced GL postings by ACS												x
GLC-05	Balanced GL postings by account type	x	x	x	x	x	x	x	x	x			x
GLC-06	GL control accounts balanced with subsidiary ledgers								x	x			x
GLC-07	De-activated accounts	x	x	x	x	x	x	x					
GLD-01	Record spending adjustments												x
GLD-02	Spending adjustment reversals												x
GLD-03	Adjustments to expired vs. unexpired												x
GLD-04	Anticipated recoveries												x
GLD-05	Adjustments to paid vs. unpaid												x
GLD-06	Adjustments to delivered vs. undelivered orders												x
GLD-07	Previously unrecorded obligations												x
PMA-01	Vendor information								x	x			
PMA-02	Third-party vendor information								x	x			
PMA-04	CCR updates to vendor data								x	x			
PMA-05	CCR company name change exception report								x	x			
PMA-06	Agency updates to CCR vendor data								x	x			
PMA-07	DUNS number to TIN association								x	x			
PMA-08	DUNS+4 to DUNS number association								x	x			
PMA-09	Banking information to DUNS+4 number association								x	x			
PMA-10	Validate vendor TINs								x	x			
PMA-15	Vendor deactivation edit								x				
PMA-16	Debarred vendors								x	x			
PMA-17	Expired CCR registrations								x	x			
SMA-01	Accounting classification								x	x			
SMA-03	Classify transactions		x	x	x	x	x	x					
SMA-05	Accounting classification tables				x	x	x	x					
SMA-06	Accounting classification effective date				x	x	x	x					

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SMB-01	Document number	x							x	x			
SMB-03	Referenced documents								x		x		
SMB-10	Funds control and tolerance levels											x	
SMB-11	Reject documents		x	x	x	x	x	x	x			x	x
SMB-12	Failed edit notification		x	x	x	x	x	x	x			x	x
SMB-13	Suspeneded documents		x	x	x	x	x	x	x			x	x
SMB-16	Failed edit corrections		x	x	x	x	x	x		x	x	x	x
SMB-18	Delete unposted documents										x	x	x
SMB-19	Over tolerances										x		
SMB-20	Over Tolerances by obligation type										x		
SMB-21	Accounting line item detail											x	x
SMB-22	Define acquisition information									x			
SMB-24	Document line item detail on obligation documents								x	x			
SMB-25	Line items to document total			x	x	x	x	x					
SMB-30	Validate ACE			x	x	x	x	x					
SMC-01	Associate documents in the processing chain								x	x			
SMC-02	Update referenced document balances								x		x		x
SMC-08	Reference multiple prior documents										x		
SMC-09	Document status		x	x	x	x	x	x	x		x	x	x
TLE-01	Integrated workflow	x	x	x	x	x	x	x					x
TLE-02	Workflow process definition	x	x	x	x	x	x	x		x			
TLE-03	Document processing approval	x	x	x	x	x	x	x	x				
TLE-04	Document approvals	x	x	x	x	x	x	x					
TLE-06	Document approval tracking	x	x	x	x	x	x	x					
TLE-07	Document routing	x	x	x	x	x	x	x	x		x	x	x
TLE-08	User alerts	x	x	x	x	x	x	x			x	x	x
TLE-09	User notices											x	
TLF-01	Indexed Referenced Materials								x				
TLH-03	Access Control								x				

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Legend													
Requirement Type ID													
FMC	Budget Authority												
FMD	Funds Distribution												
FME	Funds Control												
GLB	Transition Definition												
GLC	General Ledger Updating and Editing												
GLD	Upward/Downward Spending Adjustment												
PMA	Payee Information Maintenance Process												
SMA	Accounting Classification Process												
SMB	Document and Transaction and Control Process												
SMC	Document Referencing and Modification Process												
TLE	Workflow/Messaging												
TLF	Document Management												
TLH	Security												